



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GERALD B. KLIMAN, ET AL : Group Art Unit: 2834

Serial No.: 09/683,900 : Examiner: J. WAKS

Filed: February 28, 2002 :

For MACHINE STATOR AND FABRICATION
METHOD :**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT** 1 **Pursuant to 37 CFR 1.97(b)**[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge* 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**[before Final Office Action, Allowance, or other action closing prosecution] *no charge* 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**

[before Final Office Action, Allowance, or other action closing prosecution] \$180.00

 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**

[On or before issue fee payment] \$180.00

ATTN: BOX PATENT APPLICATION
Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

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- 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: AssistantCommissioner for Patents, Washington, D.C. 20231, on the date indicated below.

8 Oct 03

Date of Deposit

Ann A. Asztalos

Type or Print Name

C. Ann A. Asztalos

Signature

This paper is submitted in accordance with:

- 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- 8 The required Certification made in item 11 below; or
- 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- 10 37 CFR §1.97(d): [On or before issue fee payment]; and
 - a) The required Certification is stated in item 11 below; and
 - b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- 11 Certification
 - 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- 14 Payment of all applicable fees:
 - 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

Boots

Date

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FORM PTO-1449
(REV. 7-80)U. S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
RD-28,364SERIAL NO.
09/683,900INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMSApplicant
GERALD B. KLIMAN, ET ALFiling Date
02/28/02Group
2834

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A1	4,255,684	3/10/81	MISCHLER, ET AL			
A2						
A3						
A4						
A5						
A6						
A7						
A8						
A9						
A10						
A11						
A12						
A13						
A14						
A15						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
B1	JP10014145	1/16/98	Patent Abstracts of Japan			
B2	JP10201187	7/31/98	Patent Abstracts of Japan			
B3	JP010550253	3/2/89	Patent Abstracts of Japan			
B4	WO0186779	11/15/01	WIPO			
B5	A copy of the PCT Search report is enclosed					

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant